



EXPRESS MAIL SERVICE

Date 7/24/01 Label No. E2903058653-43

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print) B.W. LEE Signature B.W. Lee

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 6920/0I190

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Akihiro SHIMIZU; Mitsuyoshi SHIBUYA

Serial No.: 09/766,305

Art Unit: N/A

Confirmation No.: 9634

Filed: January 19, 2001

Examiner: N/A

For: QUALIFICATION AUTHENTICATION METHOD USING VARIABLE AUTHENTICATION INFORMATION

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 1010, (\$ 710 filing; \$ 40 recording; \$ 130 late) in the form of:
 - ☒ check
 - ☐ deposit account no. 04-0100
 - ☐ credit card (see attached form)(See attached **Fee Computation Sheet**)

3. [X] Drawings, 4 sheets (Figs. 1-4)
4. [X] Assignment for recording to: NTT Advanced Technology Corporation & Akihiro Shimizu
5. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: Japan
Number: 2001-002300
Date: January 10, 2001

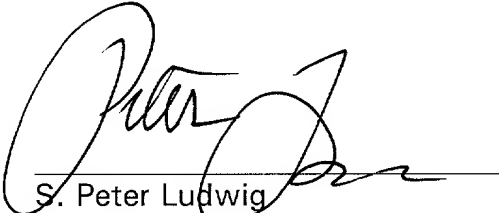
The priority documents [X] are enclosed
[] will follow.
[] were filed in a previous application.

6. [X] Petition for extension of time.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: July 23, 2001


S. Peter Ludwig
Reg. No. 25,351
Attorney for Applicant(s)

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

Serial No. 09/766,305

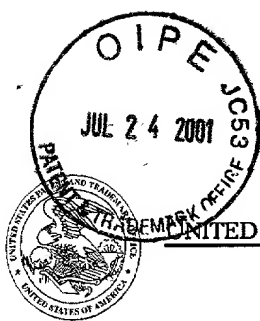
Docket No. 6920/01190



Docket No.: 6920/0I190

PATENT FEE COMPUTATION SHEET

| | No. of Claims Presented | Extra Claims Previously Paid For | Number of Extra Claims | Rate |
|--|----------------------------|--|---------------------------|-----------|
| Basic Fee | | | | \$710.00 |
| Total Claims | 3 - 20 | - 0 = 0 | x \$18.00 | \$0.00 |
| Independent Claims | 1 - 3 | - 0 = 0 | x \$80.00 | \$0.00 |
| Multiple Dependent Claims | | - if so, add | \$270.00 | \$0.00 |
| Surcharge for late submission of filing fee and/or declaration (\$130.00) | | | | \$130.00 |
| SUBTOTAL | | | | \$840.00 |
| [] Small Entity REDUCTION (Half of Subtotal) | | | | \$0.00 |
| Fee for recordation of assignment (\$40.00) | | | | \$40.00 |
| Charge for filing non-English language application (\$130.00) | | | | \$130.00 |
| TOTAL | | | | \$1010.00 |



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/766,305 | 01/19/2001 | Akihiro Shimizu | 6920/OI190 |

CONFIRMATION NO. 9634

FORMALITIES LETTER



OC000000005904301

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022

Date Mailed: 03/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.

Sample

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

096308-072401
101240-50E99760